IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
    Crabtree et al.

Serial No: 09/466,568

Filed: December 17, 1999

For: Regulated Transcription of Targeted
        Genes and Other Biological Events

Attorney Docket No.   APBI-P16-316

Art Unit: 1636

Examiner: B. Loeb

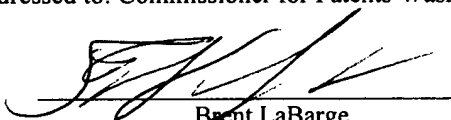---

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Brent LaBarge

Commissioner for Patents
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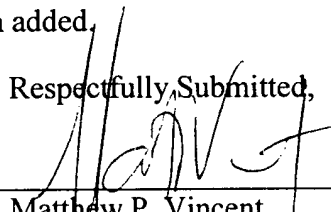### TRANSMITTAL OF SEQUENCE LISTING IN PAPER FORM

IN COMPLIANCE WITH 37 C.F.R. §§1.821(c) and (f)

In response to the Office communication mailed on May 7, 2002, Applicants submit herewith a paper copy of the sequence listing in compliance with 1.821(c). Applicants further submit in compliance with 1.821(f) that the sequence listing information recorded in computer readable form previously submitted and received by the United States Patent and Trademark Office on February 11, 2002 is identical to the paper copy of the sequence listing submitted herewith. No new matter has been added.

Respectfully Submitted,

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Reg. No. 36,709

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Notice to Comply	Application N. 09/466,568	Applicant(s) CRABTREE ET AL.
	Examiner Bronwen M. Loeb	Art Unit 1636

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set in the Office action to which the Notice is attach d to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirem nts for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).

☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).

☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).

☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."

☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unr adable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must b submitted as required by 37 C.F.R. 1.825(d).

☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).

☒ 7. Other: There are no sequence identifiers for the sequences in the specification; these sequences include those in Figures 4A, B and C, and on page 21, line 31, page 27, line 18, page 42, line 14, page 49, lines 12, 14-15, and 26-27, pag 57, line 31, page 58, lines 5-7, 13, 25, 26, and 34-36, page 59, lines 18 and 19, page 60, lines 3-5, 28, 29, and 34-36, pag 61, lines 4-15 and page 76, lines 13-15. The paper copy of the Sequence Listing must match the CRF in the par nt, use of which was requested in the 11 February 2002 communication.

Applicant Must Provide:

☐ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".

☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the sp cification.

☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, includ no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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